

Louisiana Office of Financial Institutions (OFI), Louisiana's Securities Regulator
Toll-free 1-877-516-3653 or (225) 925-4512

Website Contents of www.ofi.la.gov/SecuritiesInvestorEd.htm



Updated Monthly

➤ **Investor Assistance**

➤ **Senior Financial Exploitation**

- www.ServeOurSeniors.org
- Guarding the Guardians
- *Cognitive Decline* section
- Fraud
- Report *Senior Financial Exploitation*
- Risky Habits
- Investor Assistance
- Free Speaker to Senior Groups in Louisiana
- Seniors Targeted: Check Registrations Before Investing
- Remove Your Name From Mailing / Calling Lists
- *Conversation Starters* series
- Investors Over Fifty
- Additional Senior Investor News & Resources

➤ **Free Tools & Resources**

- Helpful Websites
- Tax Preparers: Warning Signs of Securities Fraud on Tax Returns
- Differences Between Using a Stockbroker or an Investment Advisor
- Cutting Through the Confusion: Where to Turn For Help With Investments
- *Securities 101 for Louisiana Law Enforcement Manual*
- CON ARTIST? (flyer)
- Are You A Target For Investment Fraud?
- Check Out Your Investment Professional With a Free *CRD Report*
- Complimentary Speaker for Louisiana Groups/Conferences
- *Conversation Starters* brochures for: *Couples – Families – Military – At the Office – Empty Nesters – People in Crisis*
- Investor Bill of Rights
- Investor Checklist (form)- questions to ask before investing
- 12 Questions to Ask About a Free Meal Investment Seminar (form)
- Link to FINRA BROKERCHECK website
- Contact Information – Other Agencies

➤ **Fraud Awareness**

- *Marijuana-Related Investments*
- *Things to Know About LA Securities*
- *2018 Top Investor Threats*
- *Radio Interview* with Mike Echols
- *Risky Habits*
- *Pension Advance Scams*
- *Fake Certifications/Five Certifications in LA*
- *How To Spot A Con Artist*
- *10 Tips to Protect Savings*
- *Promissory Notes: Promises, Problems*
- *Beware of Affinity Fraud*
- *Guide to Financial Service Providers*
- *Investor Tips for a Down Market*
- *Madoff: A Ponzi Scheme*
- *Warning Signs of Investment Fraud*
- *Red Flags Phrases for Fraud*

➤ **Complaints of Investment Fraud**

➤ **Investor Advisories Series (investor alerts)**

➤ **Financial Wellness**

- America's Top Financial Resolutions for 2019
- 14 Retirement Mistakes You Might Regret
- *Dear Younger Me*: Retirement Saving For ALL Ages – Free seminar
- What People are saying about free *Dear Younger Me* seminar
- START Savings Program in Louisiana - Saving for College
- Ideas to *Cut Expenses/Save More Money*
- 99 Ways to Save
- Additional Resources on retirement saving and planning
- Workbook download – [Taking the Mystery Out of Retirement Planning](#)
- Suggested Reading
- Sandwich Generation: Caught in the Middle
- Seven Ways to Reduce Emotional Spending
- Six Smart Ways to Spend Your Tax Refund
- Top 10 Financial Mistakes After Retirement
- Inexpensive/Free Hobbies
- 14 Retirement Mistakes You Might Regret